



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/008,600	12/04/2001	Dirk Voelkel	RJD101009US

CONFIRMATION NO. 2473

## FORMALITIES LETTER



\*0000000007356718\*

Roche Diagnostics Corporation  
9115 Hague Road, Bldg. D  
P.O. Box 50457  
Indianapolis, IN 46250-0457

Date Mailed: 01/24/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$280.
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1150.

A copy of this notice **MUST** be returned with the reply.

*Radi Ebrahim*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/29/2002 AOSMANI 00000029 022958 10008600

01 FC:101 740.00 CH  
02 FC:105 130.00 CH

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
RDID01009US

In Re Application Of: VOELKEL, Dirk

Serial No.  
10/008,600

Filing Date  
December 4, 2001

Examiner  
To Be Assigned

Group Art Unit  
To Be Assigned

Invention: **SYSTEM FOR ANALYSING SAMPLE LIQUIDS CONTAINING A POSITION CONTROL UNIT**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
January 24, 2002  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Preliminary Amendment (5pgs), and return postcard

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO 0533) (Large Entity)**

Docket No.  
RDID01009US

In Re Application Of: VOELKEL, Dirk

JUL 24 2002

Serial No.  
10/008,600

Filing Date  
December 4, 2001

Examiner  
To Be Assigned

Group Art Unit  
To Be Assigned

Invention: SYSTEM FOR ANALYSING SAMPLE LIQUIDS CONTAINING A POSITION CONTROL UNIT

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$740.00

☐ Design application filing fee \_\_\_\_\_

☒ Total number of independent claims = 2 \_\_\_\_\_

☒ Total number of claims = 14 \_\_\_\_\_

☐ Multiple dependent claims \_\_\_\_\_

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_

☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_

☐ Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$870.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☒ Four months    ☐ Five months

from: March 24, 2002 until: July 24, 2002  
*Date* *Date*

Total time extension fees \$1,440.00

Total fees due \$2,310.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
**RDID01009US**

In Re Application Of: **VOELKEL, Dirk**

Serial No.  
**10/008,600**

Filing Date  
**December 4, 2001**

Examiner  
**To Be Assigned**

Group Art Unit  
**To Be Assigned**

Invention: **SYSTEM FOR ANALYSING SAMPLE LIQUIDS CONTAINING A POSITION CONTROL UNIT**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

The fee of **\$2,310.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **02-2958**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **02-2958**  
A duplicate copy of this sheet is enclosed.

  
*Signature*

Dated: **July 24, 2002**

**Richard T. Knauer, Reg. No. 35,575**  
**Roche Diagnostics Corporation**  
**9115 Hague Road, Bldg D**  
**P.O. Box 50457**  
**Indianapolis, IN 46250-0457**  
**Telephone: (317) 521-7464**  
**Facsimile: (317) 521-2883**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Correspondence*

CC:

07-25-01 M MPB  
**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): VOELKEL, Dirk

Docket No.

RDID01009US

Serial No.  
10/008,600

Filing Date  
December 4, 2001

Examiner  
To Be Assigned

Group Art Unit  
To Be Assigned

Invention: **SYSTEM FOR ANALYSING SAMPLE LIQUIDS CONTAINING A POSITION CONTROL UNIT**

JUL 24 2002  
U.S. PATENT & TRADEMARK OFFICE 801 US

I hereby certify that this Response to Notice to File Missing Parts of Application

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on July 24, 2002  
(Date)

Michele Wilson

(Typed or Printed Name of Person Mailing Correspondence)

*Michele Wilson*

(Signature of Person Mailing Correspondence)

EL841983739US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.